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11/19/01 U.S. PTO

09/988396

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Hon. Assistant Commissioner for Patents  
Box Patent Appln  
Washington, D.C. 20231

Re: New Patent Application in U.S.  
Applicants: Yuka YAMADA et al  
Title: COLD CATHODE FORMING PROCESS AND ELECTRON EMISSION ELEMENT ...  
Atty's Docket: YAMADA=45

Sir:

Attached herewith is the above-identified application for Letters Patent including:

- ☐ Application Data Sheet
- ☒ Specification (38 pages), claims (8 pages) and abstract (1 page)
- ☒ 6 Sheets Drawings (Figures 1-9)  
☒ **FORMAL** ☐ Informal
- ☒ The inventors of this application are:  
Yuka YAMADA  
Takehito YOSHIDA  
Nobuyasu SUZUKI  
Toshiharu MAKINO  
Yoshikazu HORI
- ☐ Information Disclosure Statement with SB/08A and \_\_\_ references
- ☒ Return Receipt Postcard (in duplicate)

The following statements are applicable:

- ☐ Applicant hereby requests that this application **not** be published pursuant to 35 U.S.C. §122(a). It is certified on behalf of applicant that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing.
- ☐ Applicant claims small entity status. See 37 C.F.R. §1.27.
- ☒ The benefit under 35 USC §119 is claimed of the filing date of:  
Application No. 2000-352324 in Japan on November 20, 2000. A certified copy of said priority will follow.
- ☐ The present application claims the benefit of U.S. Provisional Appln. No. 60/\_\_\_\_, filed \_\_\_\_.
- ☐ The present application is a ☐ Continuation ☐ Division ☐ Continuation-in-Part of prior Application No. \_\_\_\_\_, filed \_\_\_\_\_. Although this application is stated to be a CIP, applicant does not concede that any matter is presented in this application which is not present in the parent.

- [ ] Amend the specification by inserting before the first line the sentence:  
[ ] --This is a continuation / division / continuation-in-part of copending parent application No. \_\_\_\_\_,  
filed \_\_\_\_\_--  
[ ] --The present application claims the benefit of U.S. Provisional Appln. No. 60/\_\_\_\_\_, filed \_\_\_\_\_--  
[ ] --The present application is the national stage under 35 U.S.C. §371 of international application \_\_\_\_\_  
which designated the United States[, which international application was published  
under PCT Article 21(2) in English].--
- [X] The application is (or will be) assigned to: MATSUSHITA ELECTRIC INDUSTRIAL CO. LTD.,  
whose address is 1006, OOZA KADOMA, KADOMA-SHI, OSAKA, JAPAN.
- [ ] Certain documents were previously cited or submitted to the Patent and Trademark Office in the  
following prior application \_\_\_\_\_, which is relied upon under 35 USC §120. Applicants identify these  
documents by attaching hereto a form PTO-1449 listing these documents, and request that they be  
considered and made of record in accordance with 37 CFR §1.98(d). Per Section 1.98(d), copies of these  
documents need not be filed in this application.
- [ ] Incorporation By Reference. The entire disclosure of the prior application, from which a copy of the oath  
or declaration is supplied herewith, is considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.
- [X] In accordance with 37 CFR 1.53(a) and (b), it is respectfully requested that a serial number and filing  
date be assigned to this application as of the date of receipt of the present papers. In accordance with the  
present procedures of the U.S. Patent and Trademark Office, an executed Declaration and the filing fee  
for the present application will be filed in due course.
- [X] **No authorization is given for charging the filing fee at the present time. However, at such time  
that the declaration is filed, but not before, you are authorized to charge whatever excess fees are  
necessary (including the filing fee and any extension of time fees then due) to Deposit Account 02-  
4035, if any such fees due are not fully covered by check filed at that time.**
- [X] The attorneys of record for this application and the address will be those of Customer No. 001444; i.e.,  
Sheridan Neimark, Reg. No. 20,520; Roger L. Browdy, Reg. No. 25,618; Anne M. Kornbau, Reg. No.  
25,884; Norman J. Latker, Reg. 19,963; Iver P. Cooper, Reg. No. 28,005; Jay M. Finkelstein, Reg. No.  
21,082; and \*Allen C. Yun, Reg. No. 37,971 (\*Patent Agent). Please send all correspondence with  
respect to this case to:

**BROWDY AND NEIMARK, P.L.L.C.**  
624 Ninth Street, N.W.  
Washington, D.C. 20001

Please direct all telephone calls to Browdy and Neimark at (202) 628-5197.

- [X] The Commissioner is hereby authorized to credit any overpayment of fees accompanying this paper to  
Deposit Account No. 02-4035.

Respectfully submitted,  
BROWDY AND NEIMARK, P.L.L.C.

By: \_\_\_\_\_

Sheridan Neimark  
Registration No. 20,520

SN:gsk